

UNITED STATES DISTRICT COURT  
WESTERN DISTRICT OF WASHINGTON  
AT TACOMA

JILL RENEE RAMSDELL-  
LONGNECKER,

**Plaintiff.**

V.

MICHAEL J. ASTRUE, Commissioner  
of the Social Security Administration.

Defendant.

CASE NO. 3:10-CV-05750-RBL-JRC

## **REPORT AND RECOMMENDATION ON PLAINTIFF'S COMPLAINT**

NOTING DATE: September 2, 2011

This matter has been referred to United States Magistrate Judge J. Richard Creature pursuant to 28 U.S.C. § 636(b)(1) and Local Magistrate Judge Rule MJR 4(a)(4), and as authorized by Mathews, Secretary of H.E.W. v. Weber, 423 U.S. 261, 271-72 (1976). This matter has been fully briefed (see ECF Nos. 13, 14, 15).

After reviewing the relevant record, the Court concludes that the ALJ failed to evaluate properly plaintiff's testimony and credibility. The ALJ also discredited the medical opinion of a treating physician in favor of an opinion by a non-examining, non-physician, disability evaluator with no apparent medical background while erroneously referring to it as an opinion by a "State Agency reviewing physician." Therefore, this matter should be reversed and remanded to the administration for further consideration.

## BACKGROUND

2 Plaintiff, JILL RENEE RAMSDELL-LONGNECKER, was forty-two years old on her  
3 alleged disability onset date of December 27, 2005 (Tr. 183). It is undisputed that she suffered  
4 from the severe impairments of disorders of the back (discogenic and degenerative), migraines  
5 and depression (Tr. 20). Plaintiff worked in the health care industry from 1995 until 2005 (Tr.  
6 189, 244). Her duties included checking patients into the medical center, checking blood  
7 pressures and temperatures, as well as administering injections and suturing (Tr. 244). Plaintiff  
8 was approximately 5'3" and weighed about 128 pounds (Tr. 531).

In October, 2005, plaintiff reportedly felt a “pop” in her lower back at work, followed by burning discomfort and pain in her left thigh down to the leg (Tr. 551). She rated her pain as ranging from 3 to 8 out of 10 (id.). After many visits to the doctor because of pain (see, e.g., Tr. 433, 542, 547), on February 15, 2007, plaintiff had fusion surgery of the spine, at C5 through C7 (Tr. 293-95, 518). The neurosurgeon diagnosed plaintiff with “C5-6 and C6-7 disk herniations, with corresponding radiculopathies” (Tr. 293).

Because plaintiff was suffering continued pain after this surgery, plaintiff underwent a posterior spinal fusion at T9 to T10 on March 6, 2008 (Tr. 821-23). As indicated by Dr. Joseph Cove, M.D., (“Dr. Cove”), prior to this subsequent surgery, plaintiff “had extensive nonoperative treatment with failure to improve and also had quite extensive interventions” (Tr. 821). Dr. Cove indicated that plaintiff also “had a relatively convincing discography study with a normal pain-free disk above and below the T9-10 level and 10 out of 10 pain at the T9-10 disk” (*id.*).

## PROCEDURAL HISTORY

22 Plaintiff applied for Social Security Disability benefits alleging disability due to inability  
23 to bend, lift, sit, stand or walk for extended periods of time (Tr. 183-85, 194). She indicated that

1      she could not mop or vacuum due to pain (Tr. 194). She also indicated that going “up and down  
2      stairs or even walking” caused her pain and that her pain made her tired and sad and that it  
3      required effort to get out of bed (id.). Finally, she indicated that she stopped working because the  
4      pain was so severe (id.).

5            In her 2007 application for disability benefits, plaintiff indicated that her primary treating  
6      physician for the recent ten years was Dr. Raymond Andreassen, D.O., (“Dr. Andreassen”) (Tr.  
7      197). He adjusted plaintiff’s back, prescribed medications and ordered tests such as MRI and CT  
8      scans (id.). She indicated that she had been seeing a neurosurgeon, Dr. Timothy Cohen, M.D.,  
9      regarding her herniated discs and Dr. Marc Slonimski, M.D., for chronic back pain (Tr. 197-98).  
10     She remarked in her application that she wanted to be able to work, but when she sat down, her  
11    legs and feet went numb (Tr. 213). She indicated that when she drove to the medical center, she  
12    had to stop every 30 minutes to an hour in an attempt to alleviate the pain (Tr. 213). Plaintiff’s  
13    medications included, among other things, cymbalta, diazepam, fentanyl, lunesta, oxycodone,  
14    rozerem, and sonata (Tr. 236-39). Many of these medications are for pain or for sleep assistance  
15    and many of them allegedly cause detrimental side effects, such as sleepiness and loss of  
16    concentration (id.).

17           Her application for disability benefits was denied (Tr. 114-19). Her requested hearing  
18    (Tr. 120-23) was held before Administrative Law Judge Gary J. Suttles (“the ALJ”) on March  
19    15, 2010 (Tr. 45-113). On April 27, 2010, the ALJ issued a written decision finding that plaintiff  
20    was not under a disability as defined in the Social Security Act from December 27, 2005 through  
21    the date of the decision (Tr. 18-29). On August 18, 2010, the Appeals Council denied plaintiff’s  
22    request for review, making the written decision by the ALJ the final agency decision subject to  
23    judicial review (Tr. 1-5). See 20 C.F.R. § 404.981.

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1 Plaintiff filed a complaint seeking review of the ALJ's written decision in this Court  
2 (ECF No. 2). In her Opening Brief, plaintiff contends that the ALJ (1) erred in failing to follow  
3 the treating physician rule; (2) failed to evaluate properly plaintiff's testimony; and (3)  
4 erroneously relied on flawed vocational expert testimony (ECF No.13, pp. 18-25).

5 STANDARD OF REVIEW

6 Plaintiff bears the burden of proving disability within the meaning of the Social Security  
7 Act (hereinafter "the Act"). Meanel v. Apfel, 172 F.3d 1111, 1113 (9th Cir. 1999); see also  
8 Johnson v. Shalala, 60 F.3d 1428, 1432 (9th Cir. 1995). The Act defines disability as the  
9 "inability to engage in any substantial gainful activity" due to a physical or mental impairment  
10 "which can be expected to result in death or which has lasted, or can be expected to last for a  
11 continuous period of not less than twelve months." 42 U.S.C. §§ 423(d)(1)(A), 1382c(a)(3)(A).  
12 Plaintiff is disabled under the Act only if plaintiff's impairments are of such severity that  
13 plaintiff is unable to do previous work, and cannot, considering the plaintiff's age, education, and  
14 work experience, engage in any other substantial gainful activity existing in the national  
15 economy. 42 U.S.C. §§ 423(d)(2)(A), 1382c(a)(3)(B); see also Tackett v. Apfel, 180 F.3d 1094,  
16 1098-99 (9th Cir. 1999).

17 Pursuant to 42 U.S.C. § 405(g), this Court may set aside the Commissioner's denial of  
18 social security benefits if the ALJ's findings are based on legal error or not supported by  
19 substantial evidence in the record as a whole. Bayliss v. Barnhart, 427 F.3d 1211, 1214 n.1 (9th  
20 Cir. 2005) (*citing Tidwell v. Apfel*, 161 F.3d 599, 601 (9th Cir. 1999)). "Substantial evidence" is  
21 more than a scintilla, less than a preponderance, and is such "'relevant evidence as a reasonable  
22 mind might accept as adequate to support a conclusion.'" Magallanes v. Bowen, 881 F.2d 747,  
23 750 (9th Cir. 1989) (*quoting Davis v. Heckler*, 868 F.2d 323, 325-26 (9th Cir. 1989)); see also  
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1     Richardson v. Perales, 402 U.S. 389, 401 (1971). The Court “must independently determine  
2 whether the Commissioner’s decision is (1) free of legal error and (2) is supported by substantial  
3 evidence.”’ See Bruce v. Astrue, 557 F.3d 1113, 1115 (9th Cir. 2006) (*citing Moore v. Comm’r*  
4 *of the Soc. Sec. Admin.*, 278 F.3d 920, 924 (9th Cir. 2002)); Smolen v. Chater, 80 F.3d 1273,  
5 1279 (9th Cir. 1996).

However, “regardless whether there is enough evidence in the record to support the ALJ’s decision, principles of administrative law require the ALJ to rationally articulate the grounds for h[is] decision and [the courts] confine our review to the reasons supplied by the ALJ.” Steele v. Barnhart, 290 F.3d 936, 941(7th Cir. 2002) (*citing SEC v. Chenery Corp.*, 318 U.S. 80, 93-95 (1943) (other citations omitted)); see also Stout v. Commissioner of Soc. Sec., 454 F.3d 1050, 1054 (9th Cir. 2006) (“we cannot affirm the decision of an agency on a ground that the agency did not invoke in making its decision”) (citations omitted); Griemann v. Astrue, 147 Soc. Sec. Rep. Service 286, 2009 U.S. Dist. LEXIS 124952 at \*9 (W.D. Wash. 2009). In the context of social security appeals, legal errors committed by the ALJ may be considered harmless where the error is irrelevant to the ultimate disability conclusion. Stout v. Comm. Soc. Sec., 454 F.3d 1050, 1054-55 (9th Cir. 2006) (reviewing legal errors found to be harmless).

## **DISCUSSION**

1. The ALJ failed to evaluate properly plaintiff's credibility.

An ALJ is not “required to believe every allegation of disabling pain” or other non-exertional impairment. Fair v. Bowen, 885 F.2d 597, 603 (9th Cir. 1989) (*citing* 42 U.S.C. § 423(d)(5)(A)). Even if a claimant “has an ailment reasonably expected to produce *some* pain; many medical conditions produce pain not severe enough to preclude gainful employment.” Fair,

1 885 F.2d at 603. Nevertheless, the ALJ's credibility determinations "must be supported by  
2 specific, cogent reasons." Reddick v. Chater, 157 F.3d 715, 722 (9th Cir. 1998) (citation  
3 omitted).

4 In evaluating a claimant's credibility, the ALJ cannot rely on general findings, but "'must  
5 specifically identify what testimony is credible and what evidence undermines the claimant's  
6 complaints.'" Greger v. Barnhart, 464 F.3d 968, 972 (9th Cir. 2006) (*quoting Morgan v.*  
7 Comm'r of Soc. Sec. Admin., 169 F.3d 595, 599 (9th Cir. 1999)); see also Reddick, 157 F.3d at  
8 722; Smolen, 80 F.3d at 1284. The ALJ may consider "ordinary techniques of credibility  
9 evaluation," including the claimant's reputation for truthfulness and inconsistencies in testimony,  
10 and may also consider a claimant's daily activities, and "unexplained or inadequately explained  
11 failure to seek treatment or to follow a prescribed course of treatment." Smolen, 80 F.3d at 1284.

12 The determination of whether or not to accept a claimant's testimony regarding subjective  
13 symptoms requires a two-step analysis. 20 C.F.R. §§ 404.1529, 416.929; Smolen, 80 F.3d at  
14 1281 (*citing Cotton v. Bowen*, 799 F.2d 1403 (9th Cir. 1986)). First, the ALJ must determine  
15 whether or not there is a medically determinable impairment that reasonably could be expected  
16 to cause the claimant's symptoms. 20 C.F.R. §§ 404.1529(b), 416.929(b); Smolen, 80 F.3d at  
17 1281-82. Once a claimant produces medical evidence of an underlying impairment, the ALJ  
18 may not discredit the claimant's testimony as to the severity of symptoms "based solely on a lack  
19 of objective medical evidence to fully corroborate the alleged severity of pain." Bunnell v.  
20 Sullivan, 947 F.2d 341, 343, 346-47 (9th Cir. 1991) (*en banc*) (*citing Cotton*, 799 F.2d at 1407).  
21 Absent affirmative evidence that the claimant is malingering, the ALJ must provide specific  
22 "clear and convincing" reasons for rejecting the claimant's testimony. Smolen, 80 F.3d at 1283-  
23 84; Reddick, 157 F.3d at 722.  
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1       Here, the ALJ found that “claimant’s medically determinable impairments could  
2 reasonably be expected to cause the alleged symptoms” (Tr. 25). Therefore, plaintiff’s testimony  
3 could not be discredited properly as to the severity of symptoms “based solely on a lack of  
4 objective medical evidence to fully corroborate the alleged severity of pain.” Bunnell, 947 F.2d  
5 at 343, 346-47. Nevertheless, the ALJ supported his decision not to credit fully plaintiff’s  
6 testimony mainly because “the objective medical evidence of record” failed to support her  
7 allegations (Tr. 25; see also, generally, Tr. 25-27).

8           Because the ALJ did not cite any evidence of malingering, he was required to provide  
9 specific “clear and convincing” reasons for rejecting the claimant’s testimony (Tr. 25; see also,  
10 e.g., Tr. 518 (medical notes indicated that plaintiff’s blood pressure, which usually ran in the 90s  
11 or low 100s was 141/79, which was interpreted as “good indicator that she [wa]s in quite a bit of  
12 pain”); Tr. 664 (“The patient is not a malingerer”)). See Smolen, 80 F.3d at 1283-84; Reddick,  
13 157 F.3d at 722. In addition to the objective medical evidence, the ALJ cited the fact that  
14 plaintiff indicated that her pain level on average was a 5 out of 10, but also acknowledged that  
15 plaintiff indicated that her pain level varied and that on bad days was 8-9 out of 10 (Tr. 25, 26).

16           The only other explicit support given by the ALJ for the adverse credibility determination  
17 was plaintiff’s activities of daily living, including “living independently, driving an automobile,  
18 taking care of her grandchild, [doing] grocery shopping and going on and having plans to go on  
19 trips out of the country” (Tr. 26).

20           Regarding activities of daily living, the Ninth Circuit “has repeatedly asserted that the  
21 mere fact that a plaintiff has carried on certain daily activities . . . does not in any way  
22 detract from her credibility as to her overall disability.” Orn v. Astrue, 495 F.3d 625, 639 (9th  
23 Cir. 2007) (*quoting* Vertigan v. Halter, 260 F.3d 1044, 1050 (9th Cir. 2001)). The Ninth Circuit  
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1 specified “the two grounds for using daily activities to form the basis of an adverse credibility  
2 determination:” (1) whether or not they contradict the claimant’s other testimony and (2) whether  
3 or not the activities of daily living meet “the threshold for transferable work skills.” Orn, 495  
4 F.3d at 639 (*citing Fair v. Bowen*, 885 F.2d 597, 603 (9th Cir. 1989)). As stated by the Ninth  
5 Circuit, the ALJ “must make ‘specific findings relating to the daily activities’ and their  
6 transferability to conclude that a claimant’s daily activities warrant an adverse credibility  
7 determination. Orn, 495 F.3d at 639 (*quoting Burch v. Barnhart*, 400 F.3d 676, 681 (9th Cir.  
8 2005)).

9       The ALJ here did not indicate that he found that plaintiff’s daily activities were  
10 transferable to a work setting. Indeed, plaintiff’s activities of daily living do not appear to be the  
11 type of activities that would be transferable to a work setting. Therefore, the ALJ was required to  
12 indicate specifically which testimony by plaintiff was contradicted by plaintiff’s activities of  
13 daily living in order to utilize plaintiff’s activities of daily living as a basis for an adverse  
14 credibility finding. See Orn, 495 F.3d at 639. The ALJ instead found without specific  
15 explanation that plaintiff’s “numerous activities of daily living are totally inconsistent with that  
16 of a truly disabled individual” (Tr. 26). This was legal error. See Orn, 495 F.3d at 639.

17       In addition, the Court notes that regarding the ALJ’s assertion that plaintiff was “taking  
18 care of her grandchild,” plaintiff testified that her grandchild “helped take care of me” (Tr. 72).  
19 Therefore, plaintiff’s activities related to her grandchild do not appear to contradict any  
20 testimony by plaintiff. The Court also notes that plaintiff testified that when she went on her  
21 cruise to Italy, she typically spent her day “sitting in a lounge chair, playing cards” (Tr. 97).  
22 Plaintiff testified that she spent less than a quarter of her day on her feet (*id.*). Therefore, contrary  
23 to the ALJ’s implication, the fact that plaintiff went on a cruise to Italy does not appear to  
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1 contradict any of plaintiff's testimony regarding her abilities or limitations. The ALJ appears to  
2 imply that disabled people in general are not capable of international travel. The Court also notes  
3 that plaintiff further testified that she would take her trip to Japan for her son's wedding even if  
4 she had to do so in a wheelchair (Tr. 97). The ALJ failed to identify any specific testimony of  
5 plaintiff that was contradicted by her activities of daily living. As the ALJ also failed to find that  
6 plaintiff's activities of daily living were transferable to a work setting, her daily activities could  
7 not form a proper basis for an adverse credibility finding. See Orn, 495 F.3d at 639.

8       The Court also notes that the ALJ relied on November 11, 2009 treatment notes to find a  
9 lack of "objective signs or physical findings that would preclude her from engaging in  
10 light/sedentary work" (Tr. 25). However, the ALJ failed to mention that plaintiff reported at that  
11 time that the severity of her pain was "much more severe" than it had been, and failed to mention  
12 that the examination of the lumbar spine resulted in tenderness and that the straight leg raises on  
13 the right and left both were positive for pain (Tr. 847-850). The ALJ found plaintiff's pain to be  
14 "mild to moderate at most," even though plaintiff was going to the doctor's office reporting  
15 severe pain (Tr. 26, 847-50). Again, once a claimant produces medical evidence of an underlying  
16 impairment, the ALJ may not discredit the claimant's testimony as to the severity of symptoms  
17 "based solely on a lack of objective medical evidence to fully corroborate the alleged severity of  
18 pain." Bunnell, 947 F.2d at 343, 346-47.

19       Based on a review of the relevant record and for the reasons discussed, the Court finds  
20 that the ALJ here failed to provide specific "clear and convincing" reasons to discount plaintiff's  
21 credibility and testimony. Smolen, 80 F.3d at 1283-84; Reddick, 157 F.3d at 722.

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1       2. The ALJ failed to evaluate properly the opinion by treating physician, Dr. Andreassen.

2           “A treating physician’s medical opinion as to the nature and severity of an individual’s  
3 impairment must be given controlling weight if that opinion is well-supported and not  
4 inconsistent with the other substantial evidence in the case record.” Edlund v. Massanari, 2001  
5 Cal. Daily Op. Srvc. 6849, 2001 U.S. App. LEXIS 17960 at \*14 (9th Cir. 2001) (*citing* SSR 96-  
6 2p, 1996 SSR LEXIS 9). The ALJ must provide “clear and convincing” reasons for rejecting the  
7 uncontradicted opinion of a treating physician. Lester v. Chater, 81 F.3d 821, 830 (9th Cir.  
8 1995) (*citing* Baxter v. Sullivan, 923 F.2d 1391, 1396 (9th Cir. 1991); Pitzer v. Sullivan, 908  
9 F.2d 502, 506 (9th Cir. 1990)). Even if a treating physician’s opinion is contradicted, that  
10 opinion “can only be rejected for specific and legitimate reasons that are supported by substantial  
11 evidence in the record.” Lester, supra, 81 F.3d at 830-31 (*citing* Andrews v. Shalala, 53 F.3d  
12 1035, 1043 (9th Cir. 1995)).

13           An examining physician’s opinion is “entitled to greater weight than the opinion of a  
14 nonexamining physician.” Lester, supra, 81 F.3d at 830 (citations omitted); see also 20 C.F.R. §  
15 404.1527(d). A non-examining physician’s or psychologist’s opinion may not constitute  
16 substantial evidence by itself sufficient to justify the rejection of an opinion by an examining  
17 physician or psychologist. Lester, supra, 81 F.3d at 831 (citations omitted). “In order to discount  
18 the opinion of an examining physician in favor of the opinion of a nonexamining medical  
19 advisor, the ALJ must set forth specific, *legitimate* reasons that are supported by substantial  
20 evidence in the record.” Van Nguyen v. Chater, 100 F.3d 1462, 1466 (9th Cir. 1996) (*citing*  
21 Lester, supra, 81 F.3d at 831).

22           The ALJ here discredited the medical opinion of a treating physician in favor of an  
23 opinion by a non-examining, non-physician, disability evaluator with no apparent medical  
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1 background while erroneously referring to it as an opinion by a “State Agency medical  
2 consultant” and “State Agency reviewing physician” (Tr. 23, 27). Although the ALJ discussed  
3 various notes from plaintiff’s extensive medical history, this opinion by the state agency  
4 disability evaluator appears to be the only opinion explicitly considered by the ALJ other than  
5 the medical opinion by treating physician Dr. Andreassen. When reviewing this opinion by Ms.  
6 Shelly Alonso (see Tr. 656-660), the ALJ referred to Ms. Shelly Alonso as a “State agency  
7 medical consultant” (Tr. 23), however defendant “concedes that the ALJ erred in incorrectly  
8 giving significant weight to disability examiner Alonso’s opinion as being the opinion of a State  
9 agency medical consultant in determining plaintiff’s residual functional capacity” (Defendant’s  
10 Brief, ECF No. 14, pp. 4-5). Defendant contends that the error was harmless. The Court does not  
11 agree, as this opinion largely provided the basis for the ALJ’s determination of claimant’s  
12 residual functional capacity (Tr. 23).

13 The ALJ noted that Dr. Andreassen indicated in February, 2010 that he had not examined  
14 plaintiff since August, 2009 (Tr. 27). The ALJ gave “little weight” to Dr. Andreassen’s medical  
15 opinions for this reason and for two other reasons. First, the ALJ found that Dr. Andreassen did  
16 not provide any objective evidence to support his opinions (Tr. 27). The Court observes that Dr.  
17 Andreassen testified that he had looked over plaintiff’s records as well as the objective  
18 diagnostic results (Tr. 91-92). The Court also observes that when submitting a written  
19 impairment questionnaire regarding plaintiff’s limitations, Dr. Andreassen specifically indicated  
20 that his diagnoses were supported by MRIs, X-rays and specialists’ reports (Tr. 724). In this  
21 questionnaire, Dr. Andreassen indicated many specific functional limitations on plaintiff’s ability  
22 to work (Tr. 726-30).

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1 Dr. Andreassen examined plaintiff many times over the course of over a decade. The  
2 Court notes specifically some of the objective evidence obtained directly or evaluated by Dr.  
3 Andreassen, as follows. On October 19, 2005, Dr. Andreassen examined plaintiff and noted the  
4 presence of “some catch in the left hip with rotation and frog leg positioning” (Tr. 547). He also  
5 observed “some muscle spasms still in the lumbar area” (*id.*). On January 20, 2006, Dr.  
6 Andreassen examined plaintiff and observed “decreased rotation to the mid portion of the C  
7 spine” (Tr. 542). He also observed again muscle spasms in the lumbosacral area bilaterally (*id.*).  
8 On August 7, 2006, Dr. Andreassen reviewed the X-ray results of plaintiff and observed that “the  
9 disc space of 9 and 10 is diminished with some increased osteoarthritic changes” (Tr. 432). He  
10 also conducted a physical examination of plaintiff on this date and observed that “sensory is a  
11 little accentuated to the hyper side in the left lower extremity and normal in the right” (Tr. 433).  
12 On August 19, 2007, Dr. Andreassen noted that plaintiff was moving “slowly and deliberately as  
13 if she was in pain” (Tr. 513). He observed muscle spasms in multiple areas of plaintiff’s back  
14 (*id.*).

15 The ALJ also gave the medical opinion of Dr. Andreassen “little weight” in part because  
16 he found that the limitations placed on plaintiff by Dr. Andreassen were not consistent with  
17 plaintiff’s “rather extensive activities of daily living” (Tr. 27). The Court notes that plaintiff’s  
18 activities of daily living do not appear to be very extensive, and more importantly, the ALJ fails  
19 to specify which limitation placed on plaintiff by Dr. Andreassen was not consistent with which  
20 activity of daily living engaged in by plaintiff. It is unclear how driving, grocery shopping,  
21 performing some household chores, going to the movies or traveling internationally necessarily  
22 were inconsistent with Dr. Andreassen’s opinion that plaintiff was precluded from all work. This

1 is especially the case as the ALJ failed to find that such activities of plaintiff were transferable to  
2 a work setting.

3 Based on a review of the relevant record and for the reasons indicated above, the Court  
4 concludes that the ALJ did not evaluate properly the medical opinions of Dr. Andreassen. The  
5 Court concludes that the ALJ “failed to provide specific, *legitimate* reasons that are supported by  
6 substantial evidence in the record,” for his assignment of “little weight” to the medical opinions  
7 of Dr. Andreassen. See Van Nguyen, 100 F.3d at 1466.

8 The Ninth Circuit has put forth a “test for determining when evidence should be  
9 credited and an immediate award of benefits directed.” Harman v. Apfel, 211 F.3d 1172,  
10 1178, 2000 U.S. App. LEXIS 38646 at \*\*17 (9th Cir. 2000). It is appropriate where:

11 (1) the ALJ has failed to provide legally sufficient reasons for  
12 rejecting such evidence, (2) there are no outstanding issues that must  
13 be resolved before a determination of disability can be made, and (3)  
14 it is clear from the record that the ALJ would be required to find the  
15 claimant disabled were such evidence credited.

16 Harman, 211 F.3d at 1178 (*quoting Smolen v. Chater*, 80 F.3d 1273, 1292 (9th Cir.1996)).

17 Here, outstanding issues must be resolved. See Smolen, 80 F.3d at 1292. It is not clear  
18 from the record that a finding that plaintiff was disabled is required. Although the ALJ here  
19 inappropriately discounted the opinion of a treating physician in favor of an opinion by one  
20 whom the ALJ erroneously thought was a medical consultant, the medical record in this case is  
21 extensive and includes evidence of at least temporary improvement in plaintiff’s condition (see  
22 Tr. 353; but see Tr. 349, 821-22). There also exist many medical opinions that do not appear to  
23 have been considered explicitly by the ALJ, such as those by Dr. Marc Slonimski, M.D., treating  
24 pain management specialist; Dr. Timothy Cohen, M.D., treating neurosurgeon; Dr. Jos Cove,

1 M.D., treating orthopedic surgeon; and, Dr. Vladimir Fiks, M.D., treating pain management  
2 specialist.

3 The ALJ is responsible for determining credibility and resolving ambiguities and  
4 conflicts in the medical evidence. Reddick, 157 F.3d at 722; Andrews, 53 F.3d at 1043. If the  
5 medical evidence in the record is not conclusive, sole responsibility for resolving conflicting  
6 testimony and questions of credibility lies with the ALJ. Sample v. Schweiker, 694 F.2d 639,  
7 642 (9th Cir. 1999) (*quoting Waters v. Gardner*, 452 F.2d 855, 858 n.7 (9th Cir. 1971) (*citing*  
8 Calhoun v. Bailar, 626 F.2d 145, 150 (9th Cir. 1980))).

9 Therefore, remand is appropriate to allow the administration to consider properly the  
10 medical opinions of Dr. Andreassen, as well the other medical opinions in plaintiff's record.

11 CONCLUSION

12 The ALJ failed to evaluate properly plaintiff's testimony and credibility. The ALJ also  
13 discredited the medical opinion of a treating physician in favor of an opinion by a non-  
14 examining, non-physician, disability evaluator with no apparent medical background while  
15 erroneously referring to it as an opinion by a "State Agency reviewing physician." These errors  
16 were not harmless.

17 Because of the specific errors by the ALJ, the ALJ assigned to this matter following  
18 remand must hold a new hearing and allow plaintiff to testify and present new evidence and  
19 arguments. The ALJ assigned to this matter following remand also must evaluate the record  
20 anew and issue a new decision.

21 Based on these reasons and the relevant record, the undersigned recommends that this  
22 matter be **REVERSED** and **REMANDED** to the administration for further consideration  
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1 pursuant to sentence four of 42 U.S.C. § 405(g). **JUDGMENT** should be for plaintiff and the  
2 case should be closed.

3 Pursuant to 28 U.S.C. § 636(b)(1) and Fed. R. Civ. P. 72(b), the parties shall have  
4 fourteen (14) days from service of this Report to file written objections. See also Fed. R. Civ. P.  
5 6. Failure to file objections will result in a waiver of those objections for purposes of de novo  
6 review by the district judge. See 28 U.S.C. § 636(b)(1)(C). Accommodating the time limit  
7 imposed by Rule 72(b), the clerk is directed to set the matter for consideration on September 2,  
8 2011, as noted in the caption.

9 Dated this 5th day of August, 2011.

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12 J. Richard Creatura  
13 United States Magistrate Judge  
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